



01-17-06

JTM

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission	7	Attorney Docket Number	UV-275
--	---	------------------------	--------

ENCLOSURES (Check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):	<input type="checkbox"/>
<b>Remarks</b> The Director is hereby authorized to charge any fee(s) that may be due under 37 CFR 1.16 or 1.17 to Deposit Account Number 06-1075 (Order No. 003597-0275)			

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name			
Signature			
Printed name	Chia-Hao La		
Date	January 13, 2006	Reg. No.	57,729

## CERTIFICATE OF EXPRESS MAIL (No. EV619622895US)

I hereby certify that this paper/fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Hon. Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature	
Typed or printed name	Andrew Shire
	Date
	1/13/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Express Mail Label  
No. EV619622895US

PATENTS  
UV-275

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Danny R. Gaydou et al.

Application No. : 10/804,486 Confirmation No. : 7628

Filed : March 18, 2004

For : SYSTEMS AND METHODS FOR PROVIDING TRANSPORT  
CONTROL

Art Unit : 2611

Examiner : Christopher C. Grant

Mail Stop AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

New York, New York  
January 13, 2006

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants  
hereby make the following references of record in the above-  
identified patent application:

U.S. Patent Documents

6,744,967 06/01/04 Kaminski et al.

2002/0174430 11/21/02 Ellis et al.

Foreign Patent Documents

WO 00/59214 10/05/00 TiVo, Inc.

Non-Patent Documents

DIRECTTV Receiver with TiVo, Viewer's Guide (2000).

The aforementioned documents are listed on the accompanying Form PTO/SB/08 (submitted in duplicate). Copies of the foreign patent document and the non-patent document are enclosed. Pursuant to 37 C.F.R. § 1.98(a)(2), no copies of the U.S. patent or published U.S. patent application accompany this Information Disclosure Statement.

It is respectfully requested that the above documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08, as considered and initialed by the Examiner, be returned with the next communication.

This Information Disclosure Statement is being submitted more than three months from the application filing date but before the mailing date of the first Office Action on the merits. In accordance with 37 C.F.R. § 1.97, submission of this Information Disclosure Statement requires no fee. However, if for any reason a fee is due, the Director is

hereby authorized to charge payment of any fee required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 002397-0275). A Form PTO/SB/21 Transmittal Form is enclosed herewith for that purpose.

Respectfully submitted,



Chia-Hao La  
Reg. No. 57,729  
Agent for Applicants  
Fish & Neave IP Group  
Ropes & Gray LLP  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020  
Tel.: (212) 596-9000  
Fax: (212) 596-9090



Express Mail Label No. EV619622895US

PTO/SB/08 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				<b><i>Complete if known</i></b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	10/108,486 (Conf. No. 7628)
				Filing Date	March 18, 2004
				First Named Inventor	Danny R. Gaydou
				Art Unit	2611
				Examiner Name	Christopher C. Grant
				Attorney Docket Number	UV-275
(use as many sheets as necessary)					
Sheet	1	of	1		

## **U.S. PATENT DOCUMENTS**

Examiner initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)			
		6,744,967	06-01-2004	Kaminski et al.	
		2002/0174430	11-21-2002	Ellis et al.	

## **FOREIGN PATENT DOCUMENTS**

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**All References Have Been Considered:**

---

**Examiner**

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>1</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>1</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.